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#### (BRIJESH KUMAR)

# CONTENTS

CE	RTIFICAT	ТЕ	i
		EDGMENT	
		BLES	
1.	INTROD	UCTION	Page no
	1.1 Backg	round	1
	1.2 Object	tive of research	5
	1.3 Signif	icance of work	6
	1.4 Organ	ization of work	6
2.	LITERA	<b>FURE REVIEW</b>	
	2.1 Genera	al	8
	2.2 Pillar	Mechanics	11
	2.3 Factor	related to pillar stability.	17
	2.3.1	Rock Strength	17
	2.3.2	Pillar Stress	18
	2.3.3	Pillar Shape	18
	2.3.4	Pillar Volume	18
	2.3.5	Pillar Modulus	21
	2.3.6	Constitutive Relationship	21
	2.3.7	Pillar Confinement	23
	2.4 Pillar	design methodology	23
	2.4.1	Pillar stress determination	25
	2.4.2	Tributory area theory	26
	2.4	4.2.1 Inclined Stress Formula, Pariseau(1982)	32
	2.4	4.2.2 Chain Pillar Formula, Szwilski(1982)	33
	2.4	4.2.3 Hedley & Grant Formula	34
	2.4	4.2.4 Subsidence formula	35
	2.4.3	Numericalsmodeling (methods)	36
	2.5 Pillar	strength determination	37
	2.5.1	Empirical design methods	38

2.5.1.1 Linear shape effect formula	39
2.5.1.1.1 Obert and Duvall (1967)	40
2.5.1.1.2 Bieniaski (1975)	41
2.5.1.1.3 Hudyma(1988)	41
2.5.1.2 Power shape effect formula	42
2.5.1.3 Effective pillar width	43
2.5.1.4 Hoek and Brown Failure Criteria	44
2.5.1.5 The size effect formula	46
2.5.1.5.1 Salamon and Munro Formula	47
2.5.1.5.2 Hedley and Grant Formula	49
2.5.1.5.3 Sheorey Formula	51
2.5.2 Theoretical design method	52
2.5.2.1 Wilson Confind core method	52
2.5.2.2 Coates Formula	54
2.5.3 Heuristic methods	54
2.5.3.1 Mines inspector Formula	55
2.5.3.2 Holland Formula	56
2.5.3.3 Morrison et .al Formula	56
2.5.3.4 Barrier pillar formula	56
2.6 Machine learning concept	57
3. METHODOLOGY	
3.1 Introduction	63
3.2 Feature ranking	67
3.2.1 Fuzzy rough set-based feature selection technique	68
3.3 Classifictionprotocal	68
3.4 Performance evaluation metrics	73
3.5 Theoretical estimation of pillar strength	75
3.6 Theoretical estimation of pillar stress	75
3.7 Principle component analysis	76
3.8 Stepwise selection and elimination Technique	80
3.9 Multivriante linear regression	82
4. RESULTS	
4.1 Results for prediction of pillar stability using machine learning	83

4.2 Results of PCA and SSE techniques	
4.2.1 Results of PCAtechniquess	89
4.2.2 Results of SSE techniques	96
5. DISCUSSIONS	
5.1 Discussion based on the results obtained for machine learning	101
5.2 Discussions based on the results obtained by PCA and SSE	103
Techniques	
5.3 Validations	104
6. CONCLUSIONS AND FUTURE SUGGESTIONS	107
References	109
Appendix	123
Publications	135

# LIST OF TABLES

Tables		Page No
Table 2.1:	The classification of pillar failure.	17
Table 2.2.	Empirical constants for Linear Shape Effect formula, "A" and "B", from various authors	40
Table 2.3:	Empirical constants, "a" and "b", for Size effect formula given by different authors.	47
Table 2.4:	Description of the database for compiled case histories (Salamon& Munro, 1967)	48
Table 2.5:	Empirical constants obtained for size effect formula (Salamon& Munro, 1967)	48
Table 3.1:	Descriptive characteristics of datasets.	64
Table 3.2.	Representation of pillar stability condition (Wattimena, 2014).	64
Table 4.1:	Naive Bayes model's confusion matrix based on percentage split of 80:20 on the original dataset	83
Table 4.2:	SMO model's confusion matrix based on percentage split of 80:20 on the original dataset	83
Table 4.3:	Jrip model's confusion matrix based on percentage split of 80:20 on the original dataset	84
Table 4.4:	PART model's confusion matrix based on percentage split of 80:20 on the original dataset	84
Table 4.5:	RF model's confusion matrix based on percentage split of 80:20 on the original dataset	84
Table 4.6:	Naive Bayes model's confusion matrix based on percentage split of 80:20 on reduced dataset	85
Table 4.7:	SMO model's confusion matrix based on percentage split of 80:20 on the reduced dataset	85
Table 4.8:	Jrip model's confusion matrix based on percentage split of 80:20 on the reduced dataset	85
Table 4.9:	PART model's confusion matrix based on percentage split of 80:20 on the reduced dataset	86
Table 4.10:	RF model's confusion matrix based on percentage split of 80:20 on the reduced dataset	86
Table 4.11:	Performance metrics for different ML tools on the original dataset.	86
Table 4.12:	Performance metrics for different ML tools on the reduced	87

dataset

Table 4.13:	Ranking of different features based on Fuzzy rough features evaluator	87
Table 4.14:	Descriptive statistics of the pillar stability data set	89
Table 4.15:	Data matrix explaining total variance	90
Table 4.16:	Identification of principal components	91
Table 4.17	: List of principal components extracted by PCA	92
Table 4.18:	ML Rresults for all the identified 5PCs	93
Table 4.19:	Parameters with VIF>10	93
Table 4.20:	Parameters with VIF<10	94
Table 4.21:	Table for developing predictor eq. for PS using $\beta$ -value	94
Table 4.22:	MLR results for 2PCs without multicollinearity for predicting PS	95
Table 4.23:	Correlationvalues and significance level of the parameters.	96
Table 4.24:	MLR results forpredictingPSusingalltheidentifiedparameters	98
Table 4.25:	Parameters with VIF>10	98
Table 4.26	Pillar design parameters with VIF<10	98
Table 4.27:	Tablefordeveloping predictoreq.forPS using \beta-value	99
Table 4.28:	MLRresultsfor3PCswithoutmulticollinearityforpredicting Pillar strength	99
Table 5.1	Datasets for validation of developed models	105

# LIST OF FIGURES

Figures		Page no.
Figure 1.1	Flow Chart of Machine Learning	3
Figure 1.2	Main types of Machine learning	4
Figure 2.1:	In-situ stress-strain curve of coal specimen(square-shaped) with a width of 1.4m in uniaxial compression (Beiniawski and van Heerden,1975)	14
Figure 2.2:	Stress profiles at different stages of coal pillar compression for $w/h = 2$ . (Wagner, 1974).	15
Figure 2.3.	Average pillar stress-deformation relationship using strain-softening criterion (Salamon, 2003)	16
Figure 2.4	The variation of coal pillar with w/h ratio (Das,1986).	16
Figure 2.5.	Relationship between pillar shape and pillar strength (Hoek and Brown, 1980).	18
Figure 2.6.	Relationship between pillar volume and pillar strength (Hoek and Brown,1980)	19
Figure 2.7:	The effect of specimen size on intact rock strength (Hoek and Brown, 1980).	20
Figure 2.8:	Compressive strength as a function of specimen size (Kostak,1971).	20
Figure 2.9:	Size effect on modulus of deformation (Kostak and Bielenstien, 1970)	21
Figure 2.10:	Stress distribution in coal pillar design at various stages (Wagner, 1974).	22
Figure 2.11:	The stress-strain curve (Starfield and Faairhust, 1968)	22
Figure 2.12.	The streamlines in a smoothly flowing stream that is obstructed by three bridge piers are depicted (Hoek & Brown,1980).	26
Figure 2.13	The configuration of barrier pillars and panel pillars in a horizontally extended ore (Brady and Brown, 1985).	27
Figure 2.14	The pillar configuration for an inclined rock mass, with biaxially confined transverse and longitudinal pillars, 'A' and 'B,' respectively (Brady and Brown,1985).	28
Figure 2.15:	Loads are carried by various pillars in a typical pillar layout, assuming a total rock load evenly distributed across all pillars (Hoek & Brown, 1980).	30

Figure 2.16:	Stope development causes stress redistribution in the axial direction of a pillar (Brady & Brown, 1985).	31
Figure 2.17:	By utilizing long rooms and ribs pillars, the tributary area theory has been used to calculate axial pillar stress in a large mine- structure (Brady and Brown, 1985).	31
Figure 2.18.	The Chain Pillar Formula layout (Szwilski, 1982).	34
Figure 2.19.	The pillar stability graph representing the stable, failed and transition zones (Hudyma 1988).	42
Figure 2.20.	Idealized representation of the transition intact rock to bulky jointed rock mass with increasing sample size (Hoek & Brown, 1980).	45
Figure 2.21:	Pillar strength curves for crystalline igneous rock (Hoek & Brown, 1980).	46
Figure 2.22:	Histogram depicting the frequency of pillar performance and its failure shown for South African coal mines (Salamon and Munro, 1967).	48
Figure 2.23	Pillar stresses obtained (Heddley and Grant, 1972)	50
Figure 2.24:	Pillar strengths and pillar stresses obtained by(Hedley &Grant, 1972).	50
Figure 2.25:	Introduction to machine learning	58
Figure 2.25: Figure 3.1:	Introduction to machine learning Distribution of pillar stability datasets.	58 63
U	C	
Figure 3.1:	Distribution of pillar stability datasets.	63
Figure 3.1: Figure 3.2:	Distribution of pillar stability datasets. Flowchart of methodology for machine learning	63 65
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool	63 65 66
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5:	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class	63 65 66 71
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5: Figure 3.6:	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class Development of ROC model	63 65 66 71 74
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5: Figure 3.6: Figure 3.7:	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class Development of ROC model Flowchart of methodology	63 65 66 71 74 78
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5: Figure 3.6: Figure 3.7: Figure 3.8:	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class Development of ROC model Flowchart of methodology Sequential screenshots of the PCA method	63 65 66 71 74 78 79
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5: Figure 3.6: Figure 3.7: Figure 3.8: Figure 4.1.	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class Development of ROC model Flowchart of methodology Sequential screenshots of the PCA method Sequential Screenshots of SSE method AUC for various machine learning algorithms on	<ul> <li>63</li> <li>65</li> <li>66</li> <li>71</li> <li>74</li> <li>78</li> <li>79</li> <li>81</li> </ul>
Figure 3.1: Figure 3.2: Figure 3.3: Figure 3.4 Figure 3.5: Figure 3.6: Figure 3.7: Figure 3.8: Figure 4.1. Figure 4.2.	Distribution of pillar stability datasets. Flowchart of methodology for machine learning Data processing steps performed in WEKA tool Representation of Random forest picking up majority class Development of ROC model Flowchart of methodology Sequential screenshots of the PCA method Sequential Screenshots of SSE method AUC for various machine learning algorithms on original dataset. AUC for various machine learning algorithms on	<ul> <li>63</li> <li>65</li> <li>66</li> <li>71</li> <li>74</li> <li>78</li> <li>79</li> <li>81</li> <li>88</li> </ul>

Figure 4.5.	Probability plot for the datasets by SSE	97
Figure 4.6.	Regression plot between observed and predicted values of pillar strength by SSE	100
Figure 5.1:	Comparison curve of PCA and SSE with theoretical pillar strength	104
Figure 5.2:	Comparison curve for factor of safety (FoS)	106

# LIST OF ABBRIVIATIONS

ANN	Artificial Neural Network
DT	Decision Tree
FDEM	Finite Discrete Element Method
FEM	Finite Element Method
FoS	Factor Of Safety
GBDT	Gradient Boosting Decision Tree
ML	Machine Learning
MLR	Multi-variant Linear Regression
MPNN	Multilayer Perceptron Neural Network
РСА	Principle Component Analysis
RF	Random Forest
RFPA	Rock Failure Process Analysis
SGB	Stochastic Gradient Boosting
SSE	Stepwise Selection Elimination
SVM	Support Vector Machine
UCS	Uniaxial Compressive Strength
PL	Pillar Load
AUC	Area Under Curve
ROC	Receiver Operating Curve
MCC	Mathew's Correlation Coefficient
ТР	True Positive
TN	True Negative
FP	False Positive
FN	False Negative
SL	Supervised Learning
QP	Quadratic Programming

### ABSTRACT

Support pillars are an essential structure found throughout the mining industry. The primary purpose of such pillars is to provide stability during the extraction of ores. The traditional method of determining pillar stability is to calculate the safety factor, defined as the ratio of pillar strength to pillar load. The pillars are considered to have failed when the safety factor falls below one. Various methodologies, such as tributary area theory, numerical modelling, and other computational methods, are used to estimate the pillar load. Similarly, empirical equations obtained from the examination of failed and stable situations can be used to determine the strength of the pillars. As the mining advances deeper, pillar failure becomes more common and critical because of the significant increase in ambient loads. Because of their relevance in the safe and cost-effective extraction of underground ores, mine pillars and their design have been examined by several researchers.

Every generation of rock engineers has tried to establish the best ways for effective designs for pillars. However, no ideal solution has yet been found to incorporate all of the essential variables contributing to the pillars' stability mechanics. Even the interaction of these parameters on mine pillar mechanics is subject to ongoing adjustment. Recently, mathematical techniques and software have been successfully used to analyze the relative influence of this multi-parametric phenomenon.

Over the past decades, deterministic (empirical, statistical, or analytical) methods for estimating mine pillar stability have been developed. Researchers have been very much attracted to machine learning algorithms(ANN) and statistical tools such as PCA, SSE techniques.

xvi

Given the above, the main objective of this thesis is to :Investigate the suitability of different machine learning (ML) algorithms to predict pillar stability of hard rocksin underground mining. Develop a robust and transparent modelexplaining the impact of features and simultaneously for the assessmentand final prediction of the stability of the support pillars.

The collateral objectives are:To develop a research methodology for the comparison of the performance of different Supervised Learning (SL) algorithms Feature ranking to obtain the discriminating ability of different features in the prediction of pillar stability.To investigate the relative importance of influencing variables affecting pillar stability in underground mining.To select pillar stability parameters affecting factor of safety by Principle Component Analysis (PCA) and Step-wise Selection and Elimination (SSE) techniques in underground mines, and to develop a suitable model using PCA and SSE for statistical analysis and validate the obtained equation or model with the remaining data.

This study attains significance in light of newer challenges posed to underground mining. As underground mining is getting deeper, the risk and cost of production are also at high risk. To handle these risks, we need to study the challenges like rockbursts, gas outbursts and redistributed stresses etc., posed by the pillars in underground mining, reduce risk factors, and increase production. As we move into the new digital era, the rise of novel approaches like artificial intelligence, PCA, and soft computing has entered every research field. The studies of these methods could give valuable ideas in improving the understanding of pillar stability in underground mining, further reducing the risk and increasing ores production.

Machine learning algorithms and Statistical tools such as PCA, SSE ANN, etc.,

xvii

were used to understand the pillar stability conditions and improve the prediction performance of pillar stability of underground mines. For the prediction of pillar stability (PS), two input parameters, namely, w/h ratio (pillar width to pillar height) and the ratio of average induced pillar load (PL) over the uniaxial compressive strength (UCS) of the intact rock (PL/UCS) has been used. The output of pillar stability is characterized into three classes: a). Stable b). Unstable and c). Failed

Three principles for selecting parameters have been relied upon for establishing the classification models. Firstly, the sensitive and stable parameters reflecting properties of pillar stability should be used as the discriminant indicators. Secondly, the parameters should be physically independent of each other. Finally, the parameter data should be obtained easily or readily available.

Due to the importance of features, the feature ranking algorithm, namely: fuzzy rough attribute evaluator, was used to obtain the rank of the features in the classification task. Experiments were conducted using various classification algorithms, namely Naïve Bayes, PART, Jrip, SMO, and Random Forest(RF), by changing the number of features from most significant to least significant.

The relative evaluation of the prediction of the five machine learning algorithms was performed by utilizing threshold-dependent and thresholdindependent parameters. These parameters were calculated from the values of the confusion matrix, namely: True Positives (TP) (the number of correctly predicted pillar stability), False Negatives (FN) (the number of incorrectly predicted pillar stability), True Negatives (TN) (the number of correctly predicted pillar stability), True Negatives (TN) (the number of correctly predicted pillar un-stability with failure) and False Positives (FP) (the number of incorrectly predicted pillar unstability with failure). Accuracy, Area under the curve (AUC), and Mathew's correlation coefficient (MCC) were determined for each case.TheReceiver Operating Curve (ROC) was used to represent the classifiers visually.

From the present research, the following conclusions have been drawn:

The best performance was produced by Random Forest with an accuracy of 83.3%, AUC of 0.920, and MCC of 0.740. Ranking of different features based on fuzzy rough feature evaluator in which pillar width to pillar height ratio got the maximum rank value of 0.04185 this shows the importance of this feature. The PCA technique selected two important parameters affecting Pillar Strength, W/H and UCS. On the other hand, the SSE technique selected W/H and B(Gallery Width). The R<sup>2</sup>value for the developed model using PCA in predicting pillar strength was 0.86, and the root mean square error was 0.112. Similarly, for SSE, it was 0.84 and 0.123, respectively. The PCA has a better ability to predict the pillar strength. The validation performed on the proposed model by PCA and SSE(using the datasets shown in Table B of the annexure) showed that we can express a higher level of statistical assurance on the proposed models.PCA has better accuracy in the prediction of Factor of safety(FoS). The comparison curve for FoS strengthens the result that the PCA has higher assurance in the prediction of FoS than SSE.