Certificate		**i**
Declaration		iii
Copyright Transfer Certificate		v
Acknowledgment		vi
Table of Contents		viii
List of Figures		xi
List of Tables		xiv
Abbreviations		xvi
Preface		xvii
Chapter-1	**INTRODUCTION**	**1–9**
	1.1 Growth of Cities in India	1
	1.2 Smart Cities Mission (SCM) in India	3
	1.3 Decision Support System (DSS)	4
	1.3.1 Environmental Decision Support System (EDSS)	4
	1.4 Transformation of Cities across the globe	6
	1.5 Research Issues	7
	1.6 Objectives	8
	1.7 Organization of Thesis	9
Chapter-2	**LITERATURE REVIEW**	**11-31**
	Cluster I	11-23
	2.1 Sustainable Cities	12
	2.2 Smart Cities	17
	Cluster II	24-27
	2.3Differences between Sustainable Cities and Smart Cities	24
	2.4 Developed Countries Perspectives: From ‘Sustainable Cities’ to ‘Smart Sustainable Cities’	26
	2.5 Developing Countries Perspectives: From ‘Smart	27

	Cities'to 'Sustainable Smart Cities'	
	Cluster III	28-32
	2.6 Decision Support System (DSS) for Smart Cities	28
	2.7 State of the Art and Challenges in the area of Smart Cities development	30
Chapter – 3	**RESEARCH METHODOLOGY**	**33-52**
	3.1 Evaluating Environmental Sustainability of a Smart City	33
	3.1.1 Selection of Indicators for Environmentally Sustainable Smart Cities	35
	3.1.2 Assigning weights for the indicators	36
	3.1.3 Benchmarking of selected indictors	37
	3.1.4 Calculation of Smart City Environmental Sustainability Index (SCESI)	38
	3.2 Framework of Decision Support System (DSS) for evaluating the Environmental Sustainability of a Smart City	40
	3.2.1 Theoretical concept of DSS-ESSC and its benefits	41
	3.3 Working Principle of Application	43
	3.3.1 Basic Data	46
	3.4 Sensitivity Analysis	50
Chapter – 4	**RESULTS AND DISCUSSIONS**	**53-115**
	Cluster I	**53-75**
	4.1 Indicators for Environmentally Sustainable Smart Cities (ESSC)	53
	4.1.1 Indicators shortlisted from SCM guidelines	54
	4.1.2 Additional environmental indicators screened from literature on Sustainable Cities	59
	4.1.3 Finalized domains and their corresponding indicators	65
	4.2 Assigning weights for the indicators	67
	4.3 Benchmarking of selected indicators	69
	4.4 Grouping of indicators and Domain Indices (DIs)	70
	4.4.1 Solid Waste Management Index (SWMI)	75
	4.4.2 Water Supply Management Index (WSMI)	75
	4.4.3 Sewerage, Sanitation and Storm water Management Index (SSSI)	76

	4.4.4Ambient Environment Condition Index (AECI)	76
	Cluster II	78-96
	4.5 Validation of DSS-ESSC using data of Varanasi (a city under SCM)	78
	4.5.1Probable Measures of Improvement	83
	4.6 Application of the DSS-ESSC on representative 5 cities of India under SCM	89
	4.6.1 Application of the DSS-ESSC on additional 10 cities of India under SCM	93
	Cluster III	97-109
	4.7 Five Year Phased Intervention Plan for developing Smart Cities towards ESSC	99
	4.8 Sensitivity Analysis	102
	4.8.1Effect of Removing Indicators with high weights	103
	4.8.2 Effect of Removing Indicators with low weights	104
	4. 9 Summary of observations	110
Chapter-5	**CONCLUSION AND FUTURE SCOPE**	**116-124**
	5.1 Research Contributions	116
	5.2 Research contribution to existing literature	120
	5. 3 Implications for practice	121
	5.4 Implication of research for policy formulation	122
	5.5 Limitations	123
	5.6 Scope of future studies	123
REFERENCES		125
List of Research Publications		133
Appendix A		134

List of Figures

Figure No.	Figure Caption	Page No.
Figure 1.1	Maslow's hierarchy of needs	2
Figure 1.2	Conceptual diagram of an Environmental Decision Support System (EDSS)	5
Figure 1.3	Transformation of Cities in Developed and Developing Countries	7
Figure 2.1	Wheel Model of Smart Cities	18
Figure 2.2	SMELTS Framework for Smart City Initiative	19
Figure 3.1	Four Infrastructural Pillars (Social, Physical, Institutional and Economic) of Smart Cities	34
Figure 3.2	Developing Smart City Environmental Sustainability Index (SCESI)	34
Figure 3.3	Indicator Selection Process for Environmentally Sustainable Smart Cities (ESSC)	35
Figure 3.4	Skeletal structure for Smart Cities Environmental Sustainability Index (SCESI)	39
Figure 3.5	Structure of DSS-ESSC	42
Figure 3.6	Model-View-Template (MVT) Architecture	44
Figure 3.7	Screenshot of welcome page	46
Figure 3.8	Screenshot of description page	47
Figure 3.9	Screenshot of query page	47
Figure 3.10	Screenshot of Solid Waste Management page	48
Figure 3.11	Screenshot of Water Supply Management page	48
Figure 3.12	Screenshot of Sewerage Sanitation and Storm water management page	49

Figure 3.13	Screenshot of Ambient Environment Condition page	49
Figure 3.14	Methodology for Sensitivity Analysis	50
Figure 4.1	Screenshot of Solid Waste Management Index (SWMI)page	79
Figure 4.2	Screenshot of Solid Waste Management Index (SWMI) page	79
Figure 4.3	Screenshot of Water Supply Management Index (WSMI) page	80
Figure 4.4	Screenshot of Water Supply Management Index (WSMI) page	80
Figure 4.5	Screenshot of Sewerage Sanitation and Storm water management Index (SSSI) page	81
Figure 4.6	Screenshot of Sewerage Sanitation and Storm water management Index (SSSI) page	81
Figure 4.7	Screenshot of Ambient Environment Condition Index (AECI) page	82
Figure 4.8	Screenshot of Ambient Environment Condition Index (AECI) page	82
Figure 4.9	Screenshot of Result Page of Varanasi City	83
Figure 4.10	Indicator Scores, Domain Indices and SCESI of Delhi	91
Figure 4.11	Indicator Scores, Domain Indices and SCESI of Patna	92
Figure 4.12	Indicator Scores, Domain Indices and SCESI of Varanasi	92
Figure 4.13	Indicator Scores, Domain Indices and SCESI of Allahabad	92
Figure 4.14	Indicator Scores, Domain Indices and SCESI of Bhubaneswar	93
Figure 4.15	Domain Indices and SCESI of selected cities	93
Figure 4.16	Indicator Scores, Domain Indices and SCESI of Vishakhapatnam	95
Figure 4.17	Indicator Scores, Domain Indices and SCESI of Bangalore	96

Figure 4.18	Indicator Scores, Domain Indices, and SCESI of Surat	96
Figure 4.19	Indicator Scores, Domain Indices, and SCESI of Indore	96
Figure 4.20	Indicator Scores, Domain Indices, and SCESI of Muzaffarpur	97
Figure 4.21	Indicator Scores, Domain Indices, and SCESI of Agartala	97
Figure 4.22	Indicator Scores, Domain Indices, and SCESI of Jaipur	97
Figure 4.23	Indicator Scores, Domain Indices, and SCESI of Agra	98
Figure 4.24	Indicator Scores, Domain Indices, and SCESI of Kanpur	98
Figure 4.25	Indicator Scores, Domain Indices, and SCESI of Lucknow	98
Figure 4.26	Phased intervention and improvements in DIs and SCESI for Delhi	100
Figure 4.27	Phased intervention and improvements in DIs and SCESI for Patna	100
Figure 4.28	Phased intervention and improvements in DIs and SCESI for Varanasi	101
Figure 4.29	Phased intervention and improvements in DIs and SCESI for Allahabad	101
Figure 4.30	Phased intervention and improvements in DIs and SCESI for Bhubaneswar	102
Figure 4.31	Variation of percentage error in SCESI with decreasing number of indicators due to non availability of high weight parameters	110
Figure 4.32	Variation of percentage error in SCESI with decreasing number of indicators due to non availability of low weight parameters	110

List of Tables

Table No.	Table Content	Page No.
Table 2.1	Indicator frameworks and their characteristics	15
Table 2.2	Smart Cities Environmental Indicators	20
Table 2.3	Environmental indicators in Sustainable Cities and Smart Cities Frameworks	24
Table 3.1	Indicator Score/Domain Index/ SCESI and Environmental Sustainability Condition	39
Table 3.2	Modules and sub-modules of DSS-ESSC	42
Table 4.1	Environmental indicators in Smart Cities Mission of Government of India	55
Table 4.2	Appropriateness of Environmental Indicators used in Smart Cities Mission of GOI based on WBED (2002) Criteria	57
Table 4.3	Additional environmental indicators screened through literature	61
Table 4.4	Appropriateness of 20 Additional Environmental Indicators for Sustainable Cities based on WBED (2002) criteria	62
Table 4.5	Appropriateness of Environmental Indicators for Sustainable Cities based on CITYkeys Indicators criteria	63
Table 4.6	Environmental indicators suggested for ESSC framework	64
Table 4.7	Finalized domains and their corresponding indicators	66
Table 4.8	Expert opinion on the scale of 1(Least Important) to 5 (Most Important)	68
Table 4.9	Weight of selected indicators	69
Table 4.10	Benchmarking of indicators	71
Table 4.11	Probable improvement measures for SWM indicators	84
Table 4.12	Probable improvement measures for WSM indicators	86
Table 4.13	Probable improvement measures for SSS indicators	87

Table 4.14	Probable improvement measures for AEC indicators	88
Table 4.15	Classification of Environmental Sustainability status of smart cities based on SCESI	90
Table 4.16	DIs, SCESI and Environmental Sustainability Category of five selected cities	91
Table 4.17	DIs, SCESI and Environmental Sustainability Category of ten selected cities	95
Table 4.18	Estimating Errors introduced in calculation of domain indices due to non-availability of High weight indicators	106
Table 4.19	Estimating Errors introduced in calculation of domain indices due to non-availability of Low weight indicators	107
Table 4.20	Environmental Domain(s) under 'Poor (P)' and 'Critically Low (CL)' categories of environmental sustainability and Priority Indicators	112
Table 4.21	Environmental Domain(s) under 'Poor (P)' and 'Critically Low (CL)' categories of environmental sustainability and Priority Indicators	113