

CHAPTER 2

REVIEW OF LITERATURE

Chapter Highlights

- *Cerebral ischemia pathophysiology*
- *Therapeutic approaches in cerebral ischemia*
- *An overview of neuroprotection*
- *Withanolide A*
- *Role of endogenous hormones in neuroprotection*
- *Rodent models for study of ischemic pathophysiology*
- *Virtual screening of inhibitors*

2.1 Pathophysiology of Cerebral ischemia

Cerebral ischemia reperfusion injury (CIRI) continues to be a major contributor in the global map of diseases and disorders and remains the second leading cause of death and the third most debilitating neurological disorder worldwide [1]. Cerebral ischemia is commonly caused due to compromised blood flow in a brain region as a result of an occluded blood vessel often causing neurological deficits and formation of infarction or lesions in the damaged area [3]. The infarct region witnesses rapid cell death, severe fall of ATP levels and energy reservoirs of cell and experiences a major imbalance of ionic homeostasis [3]. The serious nature of damage in the core of the infarct region is often irreversible, even after restoration of cerebral blood flow [3]. A multitude of biochemical and molecular processes like glutamate excitotoxicity, ionic imbalance, free radical generation, etc., causes loss of cellular integrity and hampers neurologic functions [4]. These myriad of biochemical changes causes DNA

damage, which finally leads to activation of various caspase dependent and independent cell death pathways [3] (Fig. 2.1.). The induction of DNA breaks due to excessive nitrosative and oxidative stress during cerebral ischemia, causes activation of PARP-1 enzyme [3], which causes cell death through an energy failure mechanism [5]. PARP-1 mediated cell death is often termed as “PARP-1 suicide hypothesis” which states that over activation of PARP-1 due to DNA damage is responsible for depletion of its substrate NAD, finally leading to cell death by energy failure [5]. The PARP-1 dependent pathway is also termed as Parthanatos and is independent of caspase mediated cell death, a hallmark of apoptosis [6]. PARP-1 activation is followed by mitochondrial disintegration, translocation of apoptosis inducing factor (AIF) from mitochondria to nucleus and loss of cellular ATP and NAD reservoir [6]. Caspases do not appear to play major role in Parthanatos, since broad spectrum caspase inhibitors were unable to confer protection in PARP-1 mediated cell death [6]. Considering the role PARP-1 plays in cerebral ischemia, several studies have inferred that targeting PARP-1 could be a possible therapeutic strategy [3, 5].

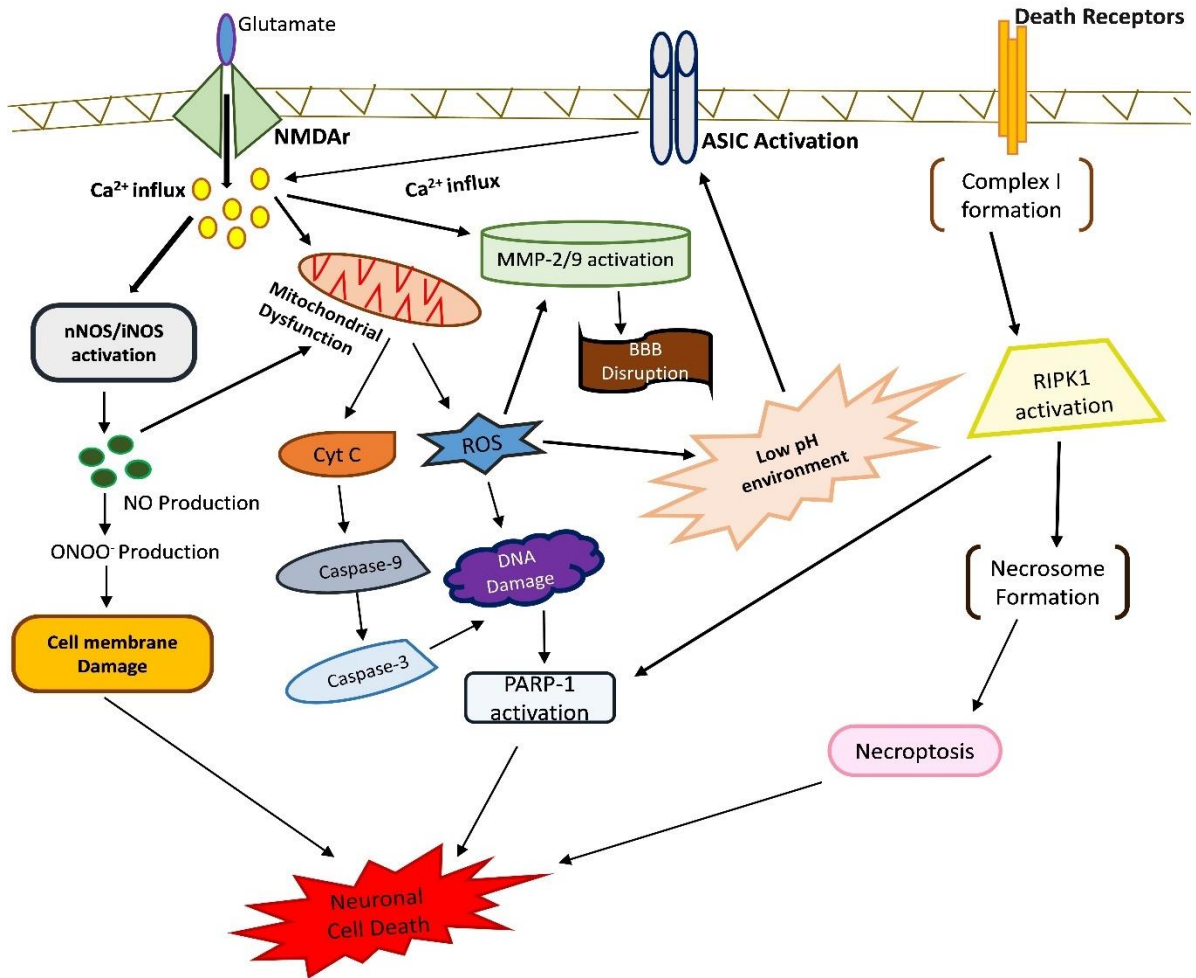


Fig. 2.1. Cerebral ischemia pathophysiological cascade

Among the myriad processes contributing to neuronal death in cerebral ischemia, another caspase independent pathway, has been identified to play prominent importance [7]. Among the principal cell death pathways, apoptosis and necrosis has always been significant. Though apoptosis is a programmed cell death pathway, necrosis is generally known as an unregulated process. But recent studies have established a programmed necrotic cell death pathway, termed as Necroptosis, which is involved in ischemic injury and is induced by activation by death-domain receptors (DRs) and is devoid of caspase signaling [7]. Execution of the pathway depends on interaction of RIPK1 with receptor interacting protein kinase 3 (RIP3) [8] and inhibition of necroptosis by specific inhibitors, known as necrostatins, has ameliorated

ischemia-reperfusion injury induced pathophysiological conditions in rodent model [7]. Necrostatins have been later characterized as specific inhibitors of RIPK1 [9], which indicates major involvement of RIPK1 in necroptotic pathway and that inhibition of RIPK1 might be successful approach to combat ischemia induced brain damage. In addition to being the major mediator of necroptotic pathway, a recent study has identified another major role RIPK1 plays in Parthanatos [10]. Regardless of its kinase activity, nuclear RIPK1 activates PARP-1 under oxidative stress condition, thus establishing itself as indispensable in two major caspase independent cell death pathways [10]. Oxidative stress is a common characteristic observed in cerebral ischemia pathophysiology, hence, RIPK1 might be key modulator in ischemic cell death and thereby an important therapeutic target. Identifying of inhibitors against both RIPK1 and PARP-1 could be a suitable approach towards future designing of potent neurotherapeutics.

2.2. Therapeutics in cerebral ischemia

Identification of symptoms of cerebral ischemia goes down a long way in history of mankind, with the first documentation of the disorder by Hippocrates in his scrolls during 460 to 370 B.C., when he described a condition of onset of paralysis due to wound in brain as apoplexy, which in recent scientific world is termed as transient ischemic attack (TIA) [11]. Galen (AD 131 to 201), did put forth the concept of presence of brain lesion, but was unable to satisfactorily explain the reason behind apoplexy [11]. After Galen's theory was proposed, for several centuries European medicine remained in dark regarding nature and character of apoplexy or ischemic attack [11]. With the advancement of science and medicine, various therapeutic strategies have emerged for treatment of cerebral ischemia induced injury.

2.2.1. Treatment with Thrombolytic Drugs

Intravenous administration of recombinant tissue Plasminogen Activator (rtPA) for treatment of cerebral ischemia was approved by FDA in 1987 which proved effective but with the limitation of administration within a stipulated time duration of 3 hours of onset of the symptoms [12]. Efficacy of rtPA (commonly marketed as Alteplase), decreases notably after 4.5 hours of inception of ischemic insult [13]. Alteplase is the only FDA approved thrombolytic drug from cerebral ischemia, whereas its contemporary thrombolytic drugs streptokinase and urokinase, did not succeed the clinical trials [12]. The efficiency of rtPA in early reperfusion makes it a therapy of choice, but this same property often contributes to the most serious limitation associated with rtPA, which is intra cranial hemorrhage (ICH) [13]. The hemorrhage is often observed in the area of infarction and can often be fatal [13].

2.2.2. Endovascular Thrombectomy Strategy

Endovascular thrombectomy or EVT is a mechanical technique for clot retrieval from occluded arteries which has been reported to provide efficient reperfusion rates as compared to rtPA [14-16]. The process involves use of micro catheters and stent retrievers to retrieve the clots from occlusion sites and angiographic imaging is used to guide the tools through the vascular tree [12]. The thrombus or embolus is either aspirated by stent retrievers delivered via micro catheters or by using a large suction catheter [12]. Though reported as effective treatment strategy and is mandatory for patients with large vessel occlusion (LVO), a very recent multi-center cohort study revealed that patients with suffering from mild cerebral ischemic damage due to LVO who received EVT as treatment, were at no excellent benefit as compared to those receiving medical management [17].

2.2.3. Antiplatelets and Anticoagulants

Use of antiplatelet drugs to reduce aggregation of platelets, thereby lowering chances of clot formation might be an effective strategy for prevention of **cerebral ischemia**. Various antiplatelet compounds are presently in phase III and IV trials but use of aspirin for treating early stage of ischemic attack is a widely accepted strategy [18]. Two other antiplatelet agents, clopidogrel and ticlopidine are usually used to treat aspirin intolerant patients, but these drugs are not as efficient as aspirin and may have toxic side effects [19]. Another well studied strategy for treatment of **cerebral ischemia** is use of anticoagulants, which inhibits blood clotting by blocking the vitamin K-dependent clotting factor production. Commonly used anticoagulants are different thrombin inhibitors, heparins and heparinoids [20]. Anticoagulants have not succeeded clinical trials since their administration poses threat of excess bleeding, ICH and thereby prove fatal.

2.2.4. Neuroprotective Strategies

Apart from the “vascular approaches” of therapeutic strategies to combat **cerebral ischemia reperfusion injury**, which act by restoring the cerebral blood flow by removal or dissolution of clot, another widely studied method of ameliorating ischemia-induced neural damage can be termed as “cellular approach” [21]. This approach is also defined as neuroprotection, which involves strategies aiming at antagonizing the damage induced by cerebral ischemia and thereby allowing survival of brain cells [22, 23]. Neuroprotection strategies first emerged during the 1970s and started developing during the 2000s [24]. During the 1990s, extensive scientific studies started revealing the underlying cellular and molecular mechanisms involved in ischemic insult and cerebral tissue damage, which provided an understanding regarding the relevant molecular mediators of ischemic cascade which can be pharmacologically targeted

[25]. Intense research regarding the excitotoxicity caused as a result of lack of blood flow and energy loss during ischemic conditions established the roles of N-methyl-d-aspartate receptor (NMDAr) signaling, excitatory amino acids and involvement of calcium channels in neuronal death acceleration [22]. Several neuroprotective strategies were devised by targeting these molecules, but most of them failed to succeed the clinical trials [26].

Beside the excitotoxic pathway, the surge in oxidative stress and inflammatory responses led to the idea that cerebral ischemia is not only a vascular disease, but it also involves various neuronal and vascular cells like neurons, astroglia, macrophages, etc., which contributes to the cellular and molecular cascades of ischemic pathophysiology [24]. These findings led to establishment of the concept of neurovascular units, involving the neurons, endothelial cells and astrocytes and was later expanded to encompass the roles of perivascular nerves, smooth muscles cells and venous systems in cerebrovascular damages [27]. Though the concept of neurovascular unit was proposed in 2002, till date, no drug targeting the neurovascular units has been clinically approved [24].

By the late 1990s and early 2000s, role of PARP-1 activation was linked with cerebral ischemia and its properties like kinase-dependent and Ca^{2+} dependent activation might be of high importance [28-31]. Over activation of PARP-1 during cerebral ischemia causes derangement of neurovascular unit, which is prompted by its ability to cause mitochondrial dysfunction, increase expression of matrix metalloproteinases (MMPs) thereby disrupting BBB integrity and exhausting cellular energy store, finally causing neuronal death [21]. The role of PARP-1 in deranging the integrity of neurovascular unit gives an opportunity for designing PARP-1 inhibitors as potential neuroprotectants. Several studies have reported that **PARP-1 inhibition by PJ34 and 3-aminobenzamide ameliorates cerebral ischemic pathophysiology [32, 33].**

Early 2000s saw the discovery of a cell death pathway completely different to apoptosis and necrosis, which were till date considered as primary cellular death pathways [34], and was termed as necroptosis, a programmed necrotic cell death [7]. Necroptosis has been now established as a cell death component of various neurodegenerative diseases like Alzheimer's disease [35, 36], Parkinson disease [37], Huntington disease [38], Amyotrophic lateral sclerosis [39, 40], Gaucher's disease [41] and cerebral ischemia [7]. Recent evidences suggest that inhibition of necroptosis can be a suitable strategy to combat ischemia induced neurodegeneration and suitable inhibitors of necroptosis needs to be identified. Though the reported RIPK1 inhibitor Nec-1 inhibits necroptosis is BBB permeable, short half-life of 1hr renders it unsuitable for treating chronic diseases [42]. RIPK1 remains a potent pharmacological target for cerebral ischemia till date, inhibitors which might prove successful neuroprotective agents **against cerebral ischemia**.

During the past decade, there have been a significant increase of interest in use of phytochemicals as neuroprotectants. Numerous scientific studies have proved anti-inflammatory and anti-oxidant properties of compounds present in various medicinal herbs which can be exploited to design potent neuroprotectors against brain pathologies [Table 2.1].

Table 2.1.: Phytochemicals and their neuroprotective properties

Phytochemicals	Source	Neuroprotective Effects
Resveratrol	Berries, peanuts, grapes and medicinal herbs [43]	Reduces oxidative stress by decreasing production of ROS and superoxide ions. [44, 45]. Prevents neuronal death from β -amyloid ($A\beta$) induced toxicity [46].
Curcuminoids	<i>Curcuma longa</i>	Effective in suppression of microglial inflammatory responses and reduction of amyloid plaques in Alzheimer's disease [47,48]. Reduces pathogenesis in Parkinson's disease by controlling the oxidative and inflammatory pathways [49].
Epigallocatechin-3-gallate	Green tea	Ameliorates neural damage by reducing oxidative stress and neuroinflammation [50, 51].
Caffeic acid phenethyl ester	Honeybee propolis	Protects neurons from inflammatory and oxidative stress by inhibiting NF- κ B signaling [52,53]. Prevents dopaminergic neuron loss [54].

Ginsenosides	Ginseng	Attenuates brain excitotoxicity, reduces neuroinflammation, prevents apoptosis and restores neurotransmitter levels [55-57]. Modulates the levels of cytokines TNF- α and IL-1 and thus reduces α -synuclein-mediated neuroinflammation [58]
Berberine	<i>Berberis vulgaris</i> , <i>Berberis aristata</i>	Checks progression of Alzheimer's disease [59]. Acts as a ROS scavenger and inhibits apoptosis mediators, thereby checking neuronal death [60].
Withanolides	<i>Withania somnifera</i>	Controls oxidative stress and lipid peroxidation induced damage [61].

Therapeutics against cerebral ischemia requires identification of novel compounds for prevention of neurological damage caused by ischemic excitotoxicity. The lack of toxicity and ability to intervene the cellular and molecular pathways involved in ischemic cell death, establishes phytochemicals as an apt choice for neurotherapeutics, but further studies are still necessary for clinical use of these molecules.

2.3. Withanolide A as a neuroprotectant

Withanolide A (WA) (Fig. 2.2.) is a steroidal lactone and a major constituent of the root of an Indian Ayurvedic herb Aswagandha (*Withania somnifera*) [62]. WA has been reported to

confer neuroprotection in Alzheimer's disease by ameliorating amyloid pathologies [63]. WA also induces neurite regeneration, promotes axonal outgrowth of cortical neurons and restores synaptic damage [64,65]. A recent study also reports that WA augments stress resistance and prolongs longevity in *C. elegans* [66]. During hypobaric hypoxia conditions, WA exerts neuroprotection in hippocampal neurons by enhancing biosynthesis of endogenous glutathione via Nrf2 pathway [67].

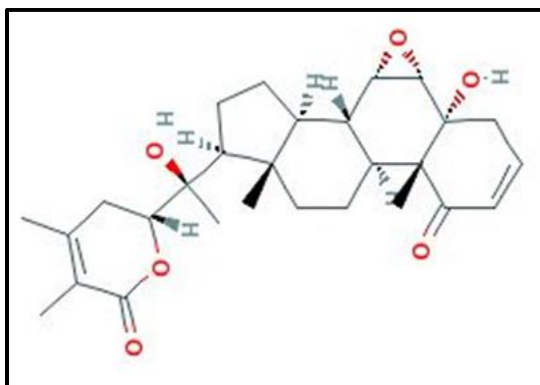


Fig. 2.2. Chemical Structure of Withanolide A

The neuroprotective qualities exhibited by WA makes the phytochemical a molecule of interest in therapeutic research for cerebral ischemic injury. But, till date effect of WA in *in-vivo* cerebral ischemia has not been explored.

2.4. Endogenous hormones and Neuroprotection

Various studies have suggested a gender dependent variation in cerebrovascular events including brain injury due to hypoxia [68], drug induced excitotoxicity [69] or cerebral contusion [70]. Even in experimental stroke models, smaller cerebral infarcts are detected in female rats as compared to rodents of opposite sex of the same age group [71]. Similar kind of results were observed in diabetes and hypertension linked ischemic models [71, 72]. These

observations have led to investigation of the role of the female sex hormones in conferring neuroprotection and estrogen has been often linked with this phenomenon. Estrogen group of hormones consists of four molecules, namely, Estrone (E1), Estradiol (E2), Estriol (E3) and Estetrol (E4) (Fig. 2.3.) [73].

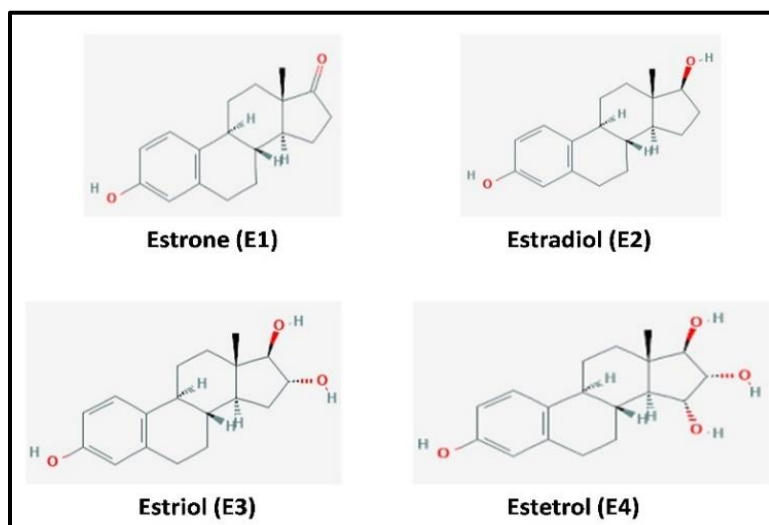


Fig. 2.3. Structure of Estrogen Hormones

Several studies have reported that administration of E2, in ovariectomized females in experimental models of cerebral ischemia, exhibits profound neuroprotective effects [74-76]. Several *in-vitro* and *in-vivo* studies have associated E2 mediated neuroprotection with its ability to up regulate Bcl-2 expression and activate the PI3K/ AKT pathway, which is necessary for cell survival [77-80]. E2 also reduces post-ischemic inflammation by lowering expression of interleukin 1- β (IL-1 β) and TNF α , thereby suppressing matrix metalloproteinase 9 (MMP-9), thus exerting its anti-inflammatory properties to reduce brain injury [81, 82]. E3 has been reported as a neuroprotective agent in autoimmune encephalomyelitis (EAE), an experimental mouse model for studying chronic form of human relapsing-remitting Multiple Sclerosis [83]. E3 exerts neuroprotective effect by increasing the production of cytokines IL-

10 and IL-5 [84, 85] and works in a gender unspecified manner [83]. Though very little has been reported till date about neuroprotective ability of E4, a recent study confirms its neuroprotective ability in neonatal hypoxic–ischemic encephalopathy (nHIE) in rat model [86]. Neuroprotection by estrogens are reportedly mediated by the estrogen receptors (ERs) present in the cortical regions, neurons and endothelial cells [87]. Progesterone (Fig. 2.4.a) is another reproductive hormone that has been studied extensively in last decade for its neuroprotective ability in cerebral ischemia [87]. Progesterone reduces ischemia induced inflammation by decreasing the production of IL-1 β [88], TNF- α [89], and TGF- β [88] and by reducing oxidative stress by inducing production of antioxidants in brain [89]. The anti-inflammatory property of progesterone also contributes to its ability to reduce cerebral edema induced by ischemic damage [88, 90,91]. It has been also theorized that, metabolism of progesterone to allopregnanolone (Fig. 2.4.b) confers protection against ischemia induced excitotoxicity by preventing down regulation of GABA_A receptors [87].

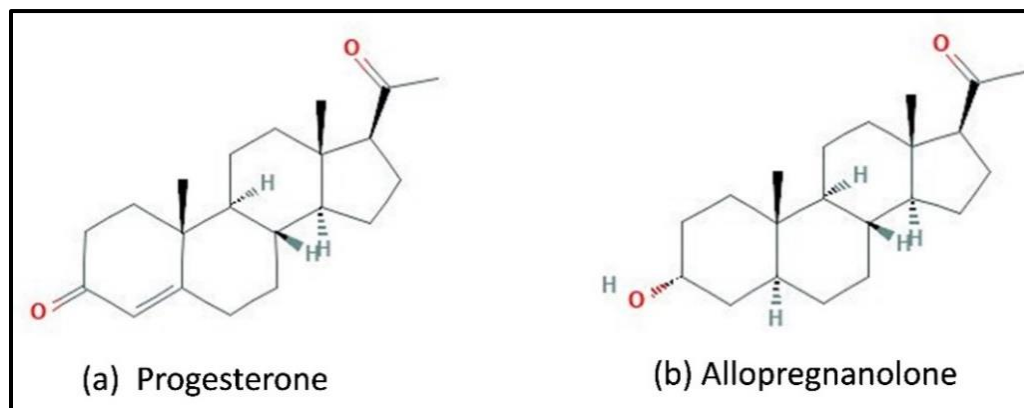


Fig. 2.4.: Chemical Structures of (a) Progesterone and (b) Allopregnanolone

Prolactin (PRL) (Fig. 2.5.) is a peptide hormone synthesized by the lactotrophs present in the anterior pituitary gland [92] and is mainly associated with maternal behavior and lactation [93]. Besides its role in milk secretion, PRL exhibits its versatility in the brain by participating in glial

activation [94], stimulating regeneration of neurons in olfactory bulb [95], proliferation of hippocampal precursor cells [96], modulation of oligodendrocyte remyelination [97] and repairing of white matter damage [98]. Various *in-vitro* and *in-vivo* studies also exhibit neuroprotective ability of PRL against glutamate and kainic acid induced excitotoxicity [99-101]. PRL shows neuroprotective effect against glutamate excitotoxicity by lowering calcium ion concentration (which increases as a result of excitotoxic insult) and modulating NF- κ β pathway to up regulate expression of Bcl-2 protein, which is necessary for cell survival [102]. Though scientific studies have widely established neuroprotective role of PRL and has been trying to decipher the underlying mechanisms, *in-vivo* efficacy of PRL in combating cerebral ischemic pathophysiology is yet to be reported.

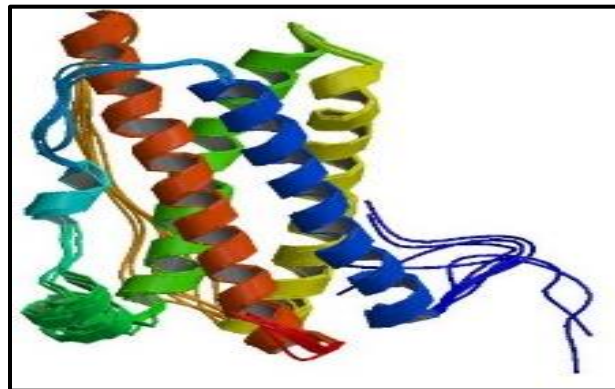


Fig 2.5: Structure of Prolactin

2.5. Cerebral Ischemic Models in Rodents

Different experimental models have been used for studying cerebral ischemia *in-vivo*, which can be broadly divided into focal, global and multifocal ischemia (Fig 2.6.) [103]. While global cerebral ischemia happens due to a reduction of blood flow throughout the brain or most of the brain regions, focal cerebral ischemia represents loss of blood flow in a specific and defined

area of brain [103]. Multi focal cerebral ischemia is caused when cerebral blood flow reduces in different patches of brain.

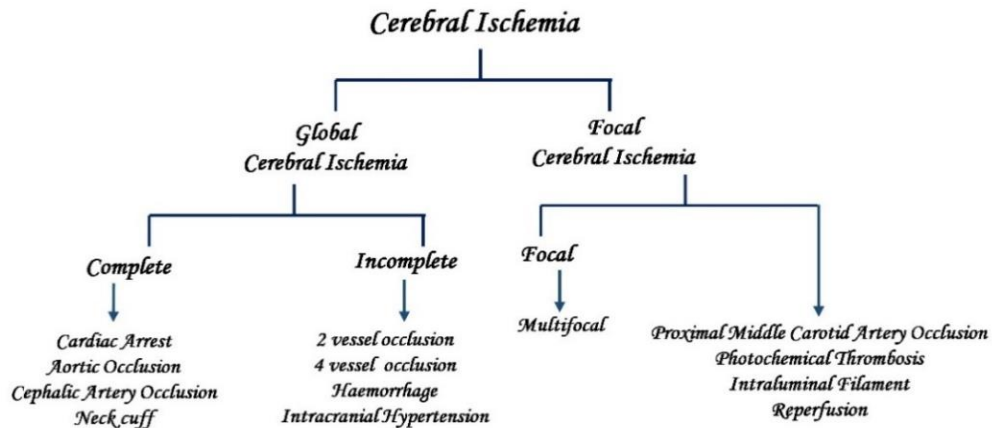


Fig. 2.6.: Types of experimental models in cerebral ischemia [103].

Induction of focal cerebral ischemia in rodent models involves obstructing the blood flow in brain via one major cerebral artery, mainly, middle cerebral artery (MCA) [104-106]. MCA occlusion (MCAO) lessens blood flow in striatal and cortical region depending on the site and duration of the occlusion [103]. While the early models of MCAO involved subtemporal craniotomy [107], the advancement of scientific studies have given birth to more sophisticated techniques. Recent techniques include electrocoagulation [103], photochemical occlusion of MCA [108] and insertion of intraluminal thread into MCA via common carotid artery [109]. Introduction of homologous blood clot in common carotid artery via a catheter through an external carotid artery is another easy and popular method of introducing focal cerebral ischemia, but inconsistent location of infarction development remains its major drawback [103]. The focal cerebral ischemia model is widely used since it is similar to thromboembolic stroke in human [104]. But this model is not sufficient for studying the effects of brain damage occurring due to a total loss of cerebral blood flow. This particular model is also associated with high mortality of experimental animals. Hence emerges the necessity of a reproducible, dependable and consistent animal model with low mortality rates to study biochemical and

physiological changes occurring as a result of global cerebral ischemia. Induction of global cerebral ischemia requires prevention of blood flow via the internal carotid arteries and vertebral arteries, which together forms the vascular structure termed as Circle of Willis (Fig. 2.7.) [111] and subsequent preservation of systemic blood flow reduces mortality rate. These four arteries form an anastomotic loop and retains perfusion in case of a proximal vascular occlusion hence blood flow via all these arteries needs to be obstructed to induce global cerebral ischemia [112]. The commonly used methods for inducing global cerebral ischemia are four vessel occlusion (4VO) and two vessel occlusion (2VO) methods [113-115]. To produce ischemic conditions in brain, the 4VO model requires an electrocauterization of the vertebral arteries via the alar foramina of the first cervical vertebra 24 hours prior to occlusion of the common carotid arteries [103]. Direct visualization of the vertebral arteries is difficult which limits the successful electrocauterization of these blood vessels [103]. This method also suffers from the involvement of surgical complexities, hindering successful implementation of the model. Hence, the 2VO model is more widely used in laboratory conditions to study ischemic pathophysiology and neuroprotection.

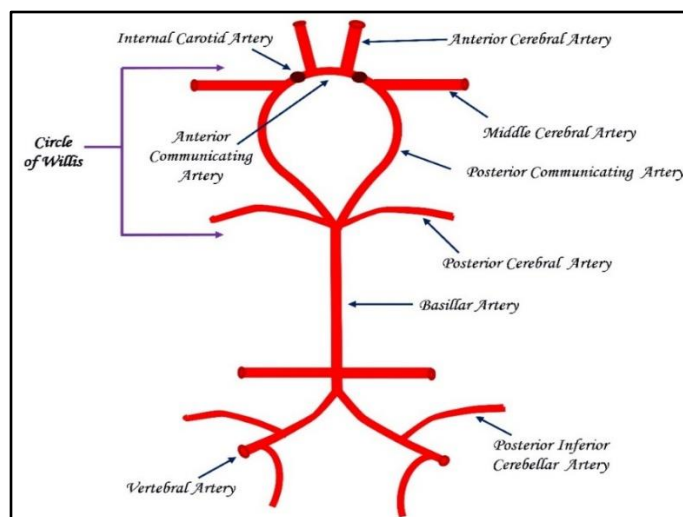


Fig. 2.7.: Representation of Circle of Willis

The 2VO model involves occlusion of the bilateral common carotid arteries (BCCA) (Fig. 2.8.) [103] and Iwasaki et al. demonstrated damage in brain tissue by BCCA occlusion (BCCAO) method [116]. BCCAO induced increase in superoxide dismutase activity, lipid peroxidation [117], decreased dendrite length and branching in CA1hippocampal region [118] has been observed in rat brain. Various studies have reportedly used 2VO model of BCCAO for studying ischemic pathophysiology and neuroprotection [119-121]. BCCAO has been also coupled with hypotension to obtain more significant results, but the model remains complex due to the absolute necessity of the animal to bleed [115, 122].



Fig. 2.8.: Induction of global cerebral ischemia by bilateral common carotid artery occlusion.

Though several rodent models have been developed to study cerebral ischemic pathologies and these models provide opportunities to explore mechanisms of neuropathology efficiently, none of them mimics or reproduces the exact nature of ischemic damage occurring in humans. This might be due to association of several other pathologies like diabetes, aging, elevated blood pressure with the pathophysiology of cerebral ischemia.

2.6. Molecular Docking Simulation in Drug Designing

Structure-based drug designing (SBDD) and virtual screening are potential new age tools for identifying potent lead compounds with pharmacological efficacy as inhibitors of different

enzymes, receptors and proteins involved as major mediators of profoundly significant pathophysiological pathways [123]. SBDD uses computational approaches to screen appropriate lead compounds as inhibitors by using 3 dimensional structures (3D) of the target protein or receptor [123]. 3D structures of target molecules can be easily retrieved from Protein data bank (PDB) which contains an extensive database of crystal/solution structures solved by X-ray crystallography and NMR techniques. The deposited structures are generally co-crystallized with various ligands (substrates or inhibitors), thus providing significant information regarding the catalytic/allosteric site of the enzyme/ protein and their binding characteristics [124]. These available data can be further exploited to gain an insight of the ligand-protein interactions and can be further used to successfully select potential inhibitors with active pharmacological properties. The interaction patterns between the ligands and the amino acid residues of the active/catalytic site of a target protein can be efficiently explored by using molecular docking techniques [125]. Molecular docking or virtual screening helps to predict the binding confirmation of the ligand along with predicting binding affinity and the orientation of the ligand in the binding site [126]. These computational approaches can also provide essential information regarding the formation of hydrogen bonds and hydrophobic interactions between the ligands and the amino acid residues of the catalytic/ active site of the target molecule. The primary step of molecular docking technique involves ligand conformation sampling into the docking site, which uses various sampling algorithms such as Genetic algorithms, Molecular dynamics, Monte Carlo, Incremental construction and Multiple Copy Simultaneous Search [127, 128]. The second step involves a scoring function, which uses force-field or empirical or knowledge-based functions to assess the binding affinity of different confirmations of the ligand with the target protein in order to rank the sampled confirmations [127]. AutoDock 4 is a software suite used to execute automated docking studies

and uses a semi-empirical free energy force field to evaluate the ligand conformations obtained during docking studies [129]. AutoDock 4 is endowed with proficient search performances since it is equipped with the traditional genetic algorithm, a combination of genetic algorithm and local optimization inheritance, thus yielding the Lamarckian genetic algorithm and the simulated annealing [129]. The software allows the user to select any of these three algorithms, although Lamarckian genetic algorithm is reported to provide most efficient and reproducible results [130].

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